

2161

PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/086,793
Filing Date	March 1, 2002
First Named Inventor	Paul Turgeon
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	40048-000110

Total Number of Pages in This Submission

1

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## ENCLOSURES (check all that apply)

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Firm and Individual name	Townsend and Townsend and Crew LLP	
	Patrick M. Boucher	Reg. No. 44,037
Signature	<i>Patrick M. Boucher</i>	
Date	January 16, 2003	

## CERTIFICATE OF MAILING

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**REVOCATION OF POWER OF  
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Application Number	10/086,783
Filing Date	March 1, 2002
First Named Inventor	Turgeon, Paul
Group Art Unit	2161
Examiner Name	Not Yet Known
Attorney Docket Number	04004B-000110US

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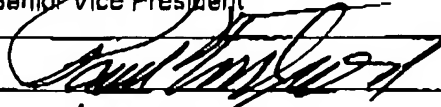
I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name	Paul Turgeon NYCE CORPORATION Senior Vice President
Signature	
Date	1/10/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of one form is submitted.

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PTO/SB/81 (02-01)

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	10/086,783
Filing Date	March 1, 2002
First Named Inventor	Turgeon, Paul
Title	System and Method for Performing Secure Remote Real-Time Financial Transactions over a Public Communications Infrastructure with Strong Authentication.
Group Art Unit	2181
Examiner Name	Not Yet Known
Attorney Docket Number	040048-000110US

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name

Paul Turgeon  
Senior Vice President  
NYCE CORPORATION

Signature

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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Attorney Docket No. 040048-000110US

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Paul Turgeon

Application No./Patent No.: 10/088,793

Filed/Issue Date: March 1, 2002

Entitled: System and Method for Performing Secure Remote Real-Time Financial Transactions Over a Public Communications Infrastructure with Strong Authentication

NYCE Corporation

a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

In the patent application/patent identified above by virtue of either:

- A ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment will be recorded in the Patent and Trademark Office for which a copy thereof is attached,

OR

- B ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Paul Charles Turgeon

To: NYCE Corporation

The document was recorded in the United States Patent and Trademark Office at:  
Reel 012671, Frame 0855, or for which a copy thereof is attached.

2. From: \_\_\_\_\_

To: \_\_\_\_\_

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.6)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

1/10/03  
Date

[Signature]  
Signature

Paul Turgeon

Typed or printed name

Senior Vice President

Title

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## ASSIGNMENT

WHEREAS, I Paul Charles Turgeon, a citizen of U.S.A., residing at 901 Sailors Reef, Fort Collins, CO 80525, have invented one or more inventions (hereinafter referred to as "said invention(s)") disclosed in an application for Letters Patent of the United States titled **SYSTEM AND METHOD FOR PROVIDING SECURE SERVICES OVER PUBLIC AND PRIVATE NETWORKS USING A REMOVABLE, PORTABLE COMPUTER-READABLE STORAGE MEDIUM AT A NETWORK ACCESS DRIVE** (hereinafter referred to as "said application"), said application having been filed on September 10, 1999 and assigned application Serial No. 09/394,143.

WHEREAS, NYCE Corporation (hereinafter together with its successors and assigns referred to as "the Assignee"), a Delaware corporation, having a place of business at 300 Tice Boulevard, Woodcliff Lake, New Jersey 07675-8405 is desirous of obtaining all right, title and interest in, to and under said invention(s) and said application; and

NOW, THEREFORE, for good and valuable considerations, the receipt and sufficiency of which I hereby acknowledge, I have sold, assigned, transferred and set over, and by these presents hereby sell, assign, transfer and set over to the Assignee all right, title and interest in, to and under said invention(s) and said application, including the right to apply for any Letters Patent of the United States of America and in any and all foreign countries on said invention(s), and any and all other applications for Letters Patent on said invention(s), in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part and convention applications based in whole or in part upon said invention(s) or upon said application, and any and all Letters Patent which may issue thereon in the United States and foreign countries, and any and all reissues, extensions, renewals, divisions, continuations or continuations-in-part of Letters Patent granted for said invention(s) or upon said applications, to the full term or terms for which said Letters Patent may be issued, and every priority right that is or may be predicated upon or arise from said invention(s), said application and said Letters Patent, the same to be held and enjoyed by the Assignee for its own use and benefit fully and entirely as if the same would have been held and enjoyed by me had this Assignment not been made. I hereby authorize the Assignee to file patent applications in any and all countries on any or all of said invention(s) in my name, or in its name, or otherwise as the Assignee may deem advisable, under the International Convention or otherwise.

I hereby authorize the Commissioner of Patents and Trademarks of the United States, and any official of any other country empowered to issue patents, to record this Assignment, and to issue or transfer all said Letters Patent on said invention(s) to the Assignee as owner of all right, title and interest therein, or otherwise as the Assignee may direct, in accordance with the terms of this Assignment.

I hereby represent and warrant that to the best of my knowledge I have the full right to convey the entire right and interest herein assigned, that there are no rights or interests outstanding inconsistent with the rights and interests granted herein, and that I will not execute

any instrument or grant or transfer any rights or interests inconsistent with the rights and interests granted herein.

I hereby covenant and agree that I will, upon request of the Assignee, communicate to the Assignee any facts known to me relating to said invention(s) and the history thereof, testify in any legal proceeding, execute all lawful papers including without limitation all divisional, continuing and reissue applications and all rightful oaths and declarations, and generally do all further acts which may be deemed necessary by the Assignee to obtain and enforce proper patent protection for said invention(s) in all countries.

Other than testimony in any legal proceeding, if requested to perform said further acts after termination of employment with the Assignee, the Assignee shall pay reasonable compensation for my time.

IN TESTIMONY WHEREOF, I have executed this document on the date indicated below.

Date:

12/28/99

(Signature) Paul Charles Turgeon

STATE OF

Colorado )

) ss.:

COUNTY OF

Boulder )

On this 28 day of December, 1999, before me came Paul Charles Turgeon, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he/she has acknowledged to me that he/she has executed the same.

Notary Public  
My Commission Expires 11/18/2002